

## APPLICATION FORM

### Apostle Dundas Global Equity Fund – Class D units Initial Offer

K2 Asset Management Ltd ABN 95 085 445 094, AFSL No: 244393 (“K2”)

Dated 18 January 2021

This Application Form accompanies the Product Disclosure Statement (“PDS”) for Class D units in the Apostle Dundas Global Equity Fund issued by K2 under the Initial Offer. The PDS contains information about investing in the Fund which you should read in full before applying for units. This offer is not open to any U.S. Person.

#### 1. INVESTOR DETAILS

##### INVESTOR TYPE

- Individual/Joint Investors/Sole Trader
- Company
- Trust/Superannuation Fund – Individual Trustee
- Trust/Superannuation Fund – Corporate Trustee

Name of applicant

Address of Principal Place of Business (not a PO Box). If residential address, mark ‘As Above’.

Suburb

State

Postcode

Country





#### 2. INVESTMENT DETAILS

##### Investment Amount

All payments must be made in AUD.

#### 3. CONTACT PERSON DETAILS

Given Names

Surname

Address (not a PO Box)

Suburb

State

Postcode

Country





Mobile Number

Telephone

Email

## 5. PAYMENT OF APPLICATION MONEY

Please select your payment method. All payments must be made in AUD.

I am making my payment by EFT - electronic funds transfer or Direct Deposit Please ensure transfers are made to the correct bank account.

Account name: State Street Bank and Trust Co. Sydney Branch, Capital Markets  
BSB: 913 001  
SWIFT/BIC: SBOSAU2X  
Account Number: 958 2131  
Reference: ATW1 [Investor name as per section 1]

## 6. DECLARATION AND SIGNATURES

I/we declare and agree each of the following:

1. I/we have read the PDS to which this application applies and have received and accepted the offer in it in Australia (and/or New Zealand for those offers made in New Zealand).
2. My/our application is true and correct.
3. I am/we are bound by any terms and conditions contained in the PDS and understand the terms of the Initial offer and the provisions of the Constitution of the Fund as amended from time to time.
4. I/We acknowledge that (if a natural person) I am/we are 18 years of age or over and I am/we are eligible to hold units in the Fund and that I/we have legal power to invest.
5. I/We have considered my/our personal circumstances and, where appropriate, obtained investment and/or taxation advice.
6. If this is a joint application, each of us agrees that our investment is as joint tenants. Each of us is able to operate the account and bind the other to any transaction including investments or redemptions by any available method.
7. If investing as trustee on behalf of a super fund or trust I/we confirm that I am/we are acting in accordance with my/our designated powers and authority under the Constitution. In the case of a super fund, I/we also confirm that it is a complying fund under the Superannuation Industry (Supervision) Act 1993.
8. I/we acknowledge that the Responsible Entity and the Custodian/Administrator (the "Entities") are required to obtain certain information to enable compliance with AML Requirements, FATCA and CRS requirements and other similar regulatory requirements introduced from time to time. The Applicant undertakes to provide this additional information or documentation as requested of it from time to time to ensure the compliance by the Entities with these requirements. The Entities may also be required to report this information to regulatory or law enforcement agencies which may include personal information, including AUSTRAC and the Australian Taxation Office or regulatory entities overseas and I/we consent to that reporting of my personal information. Should the Applicant fail to provide any information or documentation requested of it, its application may be refused.
9. If I/we have appointed an authorised representative, I/we release, discharge and indemnify K2 Asset Management Ltd as the Responsible Entity of the Fund from any loss, expense, action or other liability which may be suffered by, brought against the investor or K2 Asset Management Ltd for any action or omissions by the authorised representative whether authorised by the Investor or not.
10. The investor acknowledges that none of K2 Asset Management Ltd related entities, officers or employees or any related company or other external service provider guarantee the repayment of capital or the performance of the Fund or the payment of any or any particular rate of income from the Fund.
11. **For New Zealand Wholesale Investors:**  
I/we have read the terms of the offer relating to New Zealand investors and I/We acknowledge and agree that we have not:
  1. offered, sold, or transferred, and will not offer, sell, or transfer, directly or indirectly, any units in the Fund;
  2. granted, issued, or transferred, and will not grant, issue, or transfer, any interests in or options over, directly or indirectly, any units in the Fund;
  3. distributed and will not distribute, directly or indirectly, the PDS or any other offering materials or advertisement in relation to any offer of units in the Fund, in each case in New Zealand, other than to a person who is a Wholesale Investor;

Signature 1\*

Full Name

Date

Signature 2\*

Full Name

Date

Tick capacity (mandatory for companies):

Sole Director and Company Secretary

Director

Secretary

Director

Secretary

*\*Joint applicants must both sign.*

*\*For Individual Trustee Trust/Superannuation Funds each individual trustee must sign.*

*\*For Corporate Trustee Trust/Superannuation Funds two Directors, a Director and Secretary or Sole Director must sign.*

## 7. SENDING YOUR APPLICATION FORM

Send the signed Application Form by:

**Email: [apostle@k2am.com.au](mailto:apostle@k2am.com.au)**

Post:

K2 Asset Management  
Level 32, 101 Collins Street  
Melbourne VIC 3000